

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING SENT VIA FACSIMILE TO: COMMISSIONER OF PATENTS AND TRADEMARKS, P.O. Box 1450, Alexandria, VA 22313, on August 19, 2004, Sent by: Teri McDonald

 8/19/04

Signature & Date

RECEIVED  
CENTRAL FAX CENTER  
AUG 19 2004  
OFFICIAL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
In re application of: Nobuo Okazaki, et al.	Date: August 19, 2004
Serial Number: 10/604,202	Examiner: Paul M. Gurzo
Filed: 7/1/03	Group Art Unit: 2881
Title: APPARATUS AND METHOD FOR FORMING ALIGNMENT LAYERS	IBM Corporation D/18G, B/300, Zip 482 2070 Route 52 Hopewell Junction, NY 12533-6531


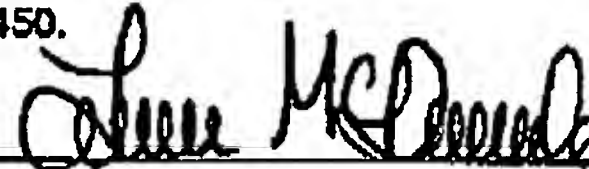
#### AMENDMENT

Commissioner of Patents and Trademarks  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated July 13, 2004, the following response is being submitted to place the above-identified application in prima facie condition for allowance.

Please amend the above identified application as follows:

<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>			Docket No. <b>JP920020114US1</b>		
Applicant(s): <b>Nobuo Okazaki, et al.</b>					
Serial No. <b>10/604,202</b>	Filing Date <b>7/1/03</b>	Examiner <b>Paul M. Gurzo</b>	Group Art Unit <b>2881</b>		
Invention: <b>APPARATUS AND METHOD FOR FORMING ALIGNMENT LAYERS</b>					
<u><b>TO THE COMMISSIONER FOR PATENTS:</b></u>					
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	14 -	20 =	0 x	\$18.00	\$0.00
INDEP. CLAIMS	3 -	3 =	0 x	\$86.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>
<div style="margin-top: 20px;"><input checked="" type="checkbox"/> No additional fee is required for amendment. <input checked="" type="checkbox"/> Please charge Deposit Account No. <b>09-0458</b> in the amount of <input type="checkbox"/> A check in the amount of to cover the filing fee is enclosed. <input type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.</div> <div style="margin-top: 20px;"> _____ <b>H. DANIEL SCHNURMANN</b> AGENT FOR APPLICANTS REG. NO.: 35,791</div> <div style="margin-top: 20px;">Dated: <b>AUGUST 19, 2004</b></div>					
<div style="border: 1px solid black; padding: 5px; width: fit-content;"><div style="font-size: small;">I certify that this document and fee is being sent via facsimile on August 19, 2004 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</div><div style="text-align: center;"> <b>Signature of Person Mailing Correspondence</b></div><div style="text-align: center;"><b>TERI MCDONALD</b> <b>Typed or Printed Name of Person Mailing Correspondence</b></div></div>					
cc:					

**OFFICIAL**  
**RECEIVED**  
**CENTRAL FAX CENTER**  
**AUG 19 2004****FACSIMILE  
TRANSMISSION  
TO USPTO****CERTIFICATION OF FACSIMILE TRANSMISSION:**I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING FACSIMILE  
TRANSMITTED TO THE USPTO ON THE DATE SHOWN BELOW:

Date of Transmission: August 19, 2003

Name of Person Making Transmission: Teri McDonald

Signature: *Teri McDonald* 8/19/04

DOCUMENT(S) FAXED:

PAGES ATTACHED: 8

Re Applic of	International Business Machines Corporation
Docket No.	JP920020114US1
Serial No.	10/604,202
Filing Date	7/1/03
Attorney	H. Daniel Schnurmann

Attached: Amendment Transmittal Form  
Amendment**PLEASE DELIVER TO:**  
**EXAMINER: Paul M. Gurzo**  
**ART UNIT:**  
**PHONE NO:**  
**FAX NO: 703-872-9306**

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS TO THE RIGHT VIA THE U.S. POSTAL SERVICE.

**INTERNATIONAL BUSINESS MACHINES  
CORPORATION**Intellectual Property Law  
East Fishkill Facility  
2070 Route 52  
Hopewell Junction  
New York 12533-6531Fax: 845-892-6363  
Phone: 845-894-4713